

**NONPROVISIONAL PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400  
Facsimile: (703) 836-2787

Attorney Docket No.: 109653

Date: May 29, 2001

**BOX PATENT APPLICATION**

**NONPROVISIONAL APPLICATION TRANSMITTAL**

RULE §1.53(b)

Customer Number: 25944

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title): MANUFACTURE OF OPTICAL WAVEGUIDE SUBSTRATE

By (Inventors): Shinji MAKIKAWA; Hiroshi AOI; Masaaki SHIROTA; Seiki EJIMA

- ☒ Formal drawings (Figs. 1-3; 3 sheets) are attached.
  - ☐ Use Figure \_\_\_\_\_ for front page of Publication.
- ☐ A Declaration and Power of Attorney is filed herewith.
- ☒ This patent application is assigned to SHIN-ETSU CHEMICAL CO., LTD.
  - ☐ The executed Assignment is filed herewith.
- ☐ An Information Disclosure Statement is filed herewith.
- ☐ Entitlement to small entity status is hereby asserted.
- ☐ A Preliminary Amendment is filed herewith.
- ☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_--
- ☒ Priority of foreign applications No. 2000-158089 filed May 29, 2000 in Japan and No. 2000-272880 filed September 8, 2000 in Japan is claimed (35 U.S.C. §119).
- ☒ The certified copies of the above corresponding foreign applications are filed herewith.
- ☐ This application is NOT to be published under 35 U.S.C. 112(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
- ☒ The filing fee is calculated below:

**CLAIMS IN THE APPLICATION AFTER ENTRY OF  
ANY PRELIMINARY AMENDMENT NOTED ABOVE**

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	8 - 20	= 0
INDEP CLAIMS	3 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference is less than zero, enter "0".

**SMALL ENTITY**

RATE	FEE
	\$ 355
x 9 =	\$
x 40 =	\$
+ 135 =	\$
<b>TOTAL</b>	<b>\$</b>

**OTHER THAN A  
SMALL ENTITY**

RATE	FEE
	\$ 710
x 18	\$
x 80	\$
+ 270	\$
<b>TOTAL</b>	<b>\$ 710</b>

- ☒ Check No. 119370 in the amount of \$710 to cover the filing fee is attached. Except as otherwise noted herein, the Director is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

William P. Berridge  
Registration No. 30,024

Joel S. Armstrong  
Registration No. 36,430